



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application

Inventor(s): Moriconi et al.

Appl. No.: 09/721,557

Confirm. No.: 5061

Filed: November 22, 2000

Title: System and Method for Maintaining Security in a Distributed Computer Network

PATENT APPLICATION

Art Unit: 2137

Examiner: Michael J. Pyzocha

Customer No. 23910

**CERTIFICATE OF TRANSMISSION/MAILING UNDER 37 C.F.R. §1.8**

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: **Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450**, on the date shown below.

*Susan Boucher*

(Signature)

Name: Susan Boucher

Signature Date: March 20, 2006

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.56**

Mail Stop RCE

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

It is requested that the information identified in this statement be considered by the Examiner and made of record in the above-identified application. This statement is not intended to represent that a search has been made or that the information cited in the statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56. If this is a continuation, divisional or continuation-in-part application, it is understood that the Examiner will consider all information which was considered by the Office in a parent application. MPEP §609. Such information therefore is not listed herein unless it is desired that the information be printed on a patent issuing from the subject application.

***Enclosed with this statement are the following:***

- ☒ Form PTO-1449. The Examiner is requested to initial the form and return it to the undersigned in accordance with M.P.E.P. §609.
- ☒ As allowed under 37 C.F.R. § 1.98(a)(2)(ii), no copies of the U.S. patents and U.S. patent application publications are enclosed, unless required by the office.
- ☐ As allowed under 37 CFR §1.98(d), copies of cited documents noted with an asterisk are not enclosed because they were previously submitted in U.S. Patent Application No. \_\_\_\_/\_\_\_\_, which is relied on for an earlier effective filing date under 35 USC §120, and which included an Information Disclosure Statement that complies with 37 CFR §1.98(a) through (c).

- ✓ A copy of an International Search Report dated 18 November 2005 and Written Opinion for Application No. PCT/US04/04078.
- ✓ A copy of an International Search Report dated 17 February 2005 and Written Opinion for Application No. PCT/US04/04140.

— If any of the cited/submitted documents is in a foreign language, a concise explanation of relevance is provided pursuant to 37 C.F.R. §1.98(a)(3)(i). For foreign language documents cited in a search report by a foreign patent office, the requirement for a concise explanation of relevance is satisfied by the submission herewith of an English language version of the search report. MPEP §609A(3). If a written English-language translation of a non-English language document, or portion thereof, is within the possession, custody or control of, or is readily available to any individual designated in §1.56(c), a copy of the translation accompanies this statement, 37 C.F.R. §1.98(a)(3)(ii), and satisfies the requirement for a concise explanation of relevance, MPEP §609A(3).

***This statement should be considered because:***

- ✓ **37 C.F.R. §1.97(b).** This statement qualifies under 37 C.F.R. §1.97, subsection (b) because:
  - (1) It is being filed within three months of the filing date of an application other than a continued prosecution application under § 1.53(d);  
-- OR --
  - (2) It is being filed within 3 months of entry of a national stage;  
-- OR --
  - (3) It is being filed before the mailing date of the first Office Action on the merits,  
-- OR --
  - (4) It is being filed before the mailing date of the first Office Action after the filing of a Request for Continued Examination under 37 C.F.R. §1.114.
- **37 C.F.R. §1.97(c).** Although it may not qualify under subsection (b), this statement qualifies under 37 C.F.R. §1.97, subsection (c) because:
  - (1) It is being filed before the mailing date of a FINAL Office Action, a Notice of Allowance, or an action that otherwise closes prosecution in the subject application, whichever occurs first.  
-- AND (*check at least one of the following*) --
    - (1) It is accompanied by a STATEMENT as set forth in 37 C.F.R. §1.97(e).  
-- OR --
    - (2) It is accompanied by the \$180 fee set forth in 37 C.F.R. §1.17(p).

— **37 C.F.R. §1.97(d).** Although it may not qualify under subsection (b) or (c), this statement qualifies under 37 C.F.R. §1.97, subsection (d) because:

- (1) It is being filed on or before payment of the Issue Fee;  
-- AND --
- (2) It is accompanied by a STATEMENT as set forth in 37 C.F.R. §1.97(e);  
-- AND --
- (3) It is accompanied by the \$180 fee set forth in 37 C.F.R. §1.17(p).

— **PTA Statement under 37 C.F.R. §1.704(d).** Each item of information contained in the *Information Disclosure Statement* was cited in a communication from a foreign patent office in a counterpart application and this communication was not received by any individual designated in §1.56(c) more than thirty days prior to the filing of the *Information Disclosure Statement*.

— **37 C.F.R. §1.97(e)(1).** THE UNDERSIGNED ATTORNEY HEREBY STATES THAT each item of information contained in this *Information Disclosure Statement* was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this *Information Disclosure Statement*; or


— **37 C.F.R. §1.97(e)(2).** THE UNDERSIGNED ATTORNEY HEREBY STATES THAT no item of information contained in this *Information Disclosure Statement* was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this statement after making reasonable inquiry, no item of information contained in this *Information Disclosure Statement* was known to any individual designated in §1.56(c) more than three months prior to the filing of this statement.

✓ **Fee Authorization.** The Commissioner is hereby authorized to charge any deficiencies or credit any overpayment to Deposit Account No. 06-1325.

Respectfully submitted,

FLIESLER MEYER LLP

Date: March 20, 2006

By:   
Justas Geringson  
Reg. No. 57,033

Customer No. 23910  
FLIESLER MEYER LLP  
Four Embarcadero Center, Fourth Floor  
San Francisco, California 94111-4156  
Telephone (415) 362-3800

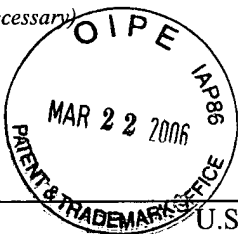


Form PTO-1449 (Substitute)  U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE  <i>Information Disclosure Statement</i> <b>BY APPLICANT</b> (Use several sheets if necessary)	Attorney Docket Number <b>BEAS-01453US1</b>	Application/Patent Number <b>09/721,557</b>
	Applicant/Patent Owner <b>Moriconi et al.</b>	
	Filing/Issue Date <b>November 22, 2000</b>	Group Art Unit <b>2137</b>

**U.S. PATENTS**

Examiner Initial		Patent Number	Issue Date	First Named Inventor	Class	Subclass	Filing Date
	A6	5,237,614	08/17/93	Weiss			
	A7	5,355,474	10/11/94	Thuraisingham et al.			
	A8	5,544,322	08/06/96	Cheng et al.			
	A9	5,627,886	05/06/97	Bowman			
	A10	5,918,210	06/29/99	Rosenthal et al.			
	A11	5,950,195	09/07/99	Stockwell et al.			
	A12	5,956,521	09/21/99	Wang			
	A13	5,956,400	09/21/99	Chaum et al.			
	A14	6,006,194	12/21/99	Merel			
	A15	6,029,144	02/22/00	Barrett et al.			
	A16	6,141,686	10/31/00	Jackowski et al.			
	A17	6,154,844	11/28/00	Touboul et al.			
	A18	6,170,009	01/02/01	Mandal et al.			
	A19	6,202,157	03/13/01	Brownlie et al.			
	A20	6,209,101	03/27/01	Mitchem et al.			
	A21	6,216,231	04/10/01	Stubblebine			
	A22	6,317,868	11/13/01	Grimm et al.			
	A23	6,353,886	03/05/02	Howard et al.			

<b><u>Examiner</u></b>	<b><u>Date Considered</u></b>
------------------------	-------------------------------

Form PTO-1449 (Substitute) U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE  <p style="text-align: center;"><i>Information Disclosure Statement</i>  <b>BY APPLICANT</b>          (Use several sheets if necessary)</p>		Attorney Docket Number <b>BEAS-01453US1</b>		Application/Patent Number <b>09/721,557</b>			
		Applicant/Patent Owner <b>Moriconi</b>					
		Filing/Issue Date <b>November 22, 2000</b>		Group Art Unit <b>2137</b>			
<b>U.S. PATENTS</b>							
Examiner Initial		Patent Number	Issue Date	First Named Inventor	Class	Subclass	Filing Date
	A24	6,393,474	05/21/02	Eichert et al.			
	A25	6,412,077	06/25/00	Roden et al.			
	A26	6,484,261	11/19/02	Wiegel			
	A27	6,615,218	09/02/03	Mandal et al.			
	A28	6,721,888	04/13/04	Liu et al.			
	A29	6,735,701	05/11/04	Jacobson			
	A30	6,738,789	05/18/04	Multer et al.			
	A31	6,751,659	06/15/04	Fenger et al.			
	A32	6,769,118	07/27/04	Garrison et al.			
	A33	6,779,002	08/17/04	Mwaura			
	A34	6,789,202	09/07/04	Ko et al.			
	A35	6,880,005	04/12/05	Bell et al.			
	A36	6,922,695	07/26/05	Skufca et al.			
	A37	6,934,934	08/23/05	Osborne, II, et al.			
	A38	6,957,261	10/18/05	Lortz			
	A39	5,173,939	12/22/92	Abadi et al.			
	A40	5,481,700	01/02/96	Thuraisingham			
	A41	5,797,128	08/18/98	Birnbaum			
<u><b>Examiner</b></u>				<u><b>Date Considered</b></u>			

Form PTO-1449 (Substitute)	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	Attorney Docket Number <b>BEAS-01453US1</b>	Application/Patent Number <b>09/721,557</b>
Information Disclosure Statement <b>BY APPLICANT</b> (Use several sheets if necessary)		Applicant/Patent Owner <b>Moriconi</b>	
		Filing/Issue Date <b>November 22, 2000</b>	Group Art Unit <b>2137</b>



**U.S. PATENTS**

	A42	5,826,268	10/20/98	Schaefer			
	A43	6,005,571	12/21/99	Pachauri			
	A44	6,009,507	12/28/99	Brooks et al.			
	A45	6,460,141	10/01/02	Olden			
	A46	6,539,414	03/25/03	Klein et al.			
	A47	6,965,999	11/15/05	Fox et al.			

**U.S. PATENT PUBLICATIONS**

Examiner Initial		Patent Application Publication Number	Publication Date	Applicant
	A48	2001/0039579	11/08/01	TRCKA <i>et al.</i>
	A49	2002/0062451	05/23/002	Scheidt <i>et al.</i>

**OTHER DOCUMENTS** (Include author (if any), title, publisher and place of publication, date and pertinent pages)

	C2	USDataCenters...eBusiness, Business Wire, p2079. April 4, 2001.

<u>Examiner</u>	<u>Date Considered</u>
-----------------	------------------------

**\*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.**

**\*1 = Copy not submitted because it was submitted in prior application SN \_\_\_\_ / \_\_\_\_, filed \_\_\_\_, 20 \_\_, relied on under 35 USC §120.**

**\*\*2 = Copy not submitted because it was submitted in prior application SN \_\_\_\_ / \_\_\_\_, filed \_\_\_\_, 20 \_\_, relied on under 35 USC §120.**